APPENDIX

Form of New Subdivision Extension Deposit Agreement for	or Off Site Facilities:
THIS AGREEMENT, entered into this _day of	CANCELLED by and between (T)
KENTUCKY AMERICAN WATER, a Kentucky corporation, having Lexington, Kentucky, hereinafter called "COMPANY", and called "SUBDIVIDER",	g its office at 2300 Richmond Road, September 10, 2018, hereinafter (T)
WITNESSETH	KENTUCKY PUBLIC SERVICE COMMISSION
THAT, WHEREAS, SUBDIVIDER is the present owner of a service area of COMPANY, which tract is now being developed by S thereof (hereinafter called the subdivision), and	
WHEREAS, the Commission final plat of said proposed subdivision, upon which plat the location a plots, building lines and utility easements are depicted, a copy of which hereof, marked Exhibit "B" for identification, and	
WHEREAS, SUBDIVIDER desires the extension of the off s on the site of said subdivision as hereinafter described,	ite water mains and facilities of COMPANY (T)
NOW, THEREFORE, in consideration of the premises and hereinafter set forth, it is hereby agreed by and between the parties he	
FIRST: COMPANY contracts and agrees to lay the water m shown in red on the plat attached hereto and made a part hereof, mark main(s) and facilities, if any, are described and located as follows:	
SECOND: It is expressly understood and agreed that if COM installing the water main(s) and other related facilities, if any, hereing secure pipe or other construction materials, or for any other causes be performance shall be excused; provided, however, if such failure or do f more than ninety (90) days from date of deposit, SUBDIVIDER shagreement on thirty (30) days' written notice to COMPANY, and there	bove described because of its failure to yond its control, such failure or delay in (T) elay in performance shall extend for a period all have the right to cancel and terminate this (T)
ISSUED: May 18, 2018 App EFFECTIVE: July 15, 2018	pro ved: KENTUCKY
• /	PUBLIC SERVICE COMMISSION Gwen R. Pinson
ISSUED BY: /s/ Nick O. Rowe Nick O. Rowe President	Executive Director Suren R. Punson
2300 Richmond Road, Lexington, KY 40502	EFFECTIVE 7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)
	· oncontraction of the contraction of the contracti

and obligations arising hereunder. But this right to cancel and terminate by SUBDIVIDER shall not be invoked if COMPANY has received the construction material and SUBDIVIDER has made the deposit as hereinafter required, in which event COMPANY shall have the obligation to prosecute the work diligently to its completion.

THIRD: SUBDIVIDER hereby agrees to deposit with COMPANY, upon notice from COMPANY that it is prepared and able to go forward with the work provided in Paragraph FIRST hereof, the sum of	(T)
, which sum is the presumed cost of installation of said	(T)
off site main(s) and related facilities, if any, as determined under Section C(4) of Rule 10.3 of COMPANY'S Rules and Regulations. No adjustment in deposit will be made, either by way of adding to or reducing the deposit	(T)
required hereunder, because of a variance between the presumed cost mentioned herein and the actual cost of	
installation of such main(s) and related facilities, if any.	
FOURTH: SUBDIVIDER represents to and covenants with COMPANY that the number of residential	
and/or commercial building plots to be developed within the whole of said subdivision, as shown on the plat	
attached hereto as Exhibit "B", being a total ofbuilding plots, will not be decreased in	(T)
the final plats of said subdivision as the same are hereafter recorded in the office of the Clerk of the Fayette County	
Court. Upon such representation and covenant, COMPANY hereby agrees to refund to SUBDIVIDER during the	
period of ten (10) years from the actual date of deposit, an amount equal to of the total deposit	(T)
made by SUBDIVIDER under the terms of Paragraph THIRD above, being the sum of	` ,
, for each premises served within said subdivision (as said	(T)
subdivision is depicted on the plat attached hereto and marked Exhibit "B" for identification) for which a street service	()
connection shall be directly attached to the mains constructed on the site of such subdivision between their original	
beginning and original termini (not including, however, connections to further extensions or branches thereof, and	
being limited to one such service connection per building plot) and for which a bona fide residential or commercial	
customer has executed a service contract with COMPANY, provided, however, that the total amount returned shall	
not exceed the original deposit, without interest, and that all or any part of the deposit not refunded within said	
ten (10) year period shall become the property of COMPANY.	
ton (10) your period shall decome the property of Committee.	

CANCELLED

September 10, 2018

KENTUCKY PUBLIC SERVICE COMMISSION

ISSUED: May 18, 2018

EFFECTIVE: July 15, 2018

ISSUED BY: /s/ Nick O. Rowe
Nick O. Rowe
President

Approved:

KENTUCKY
PUBLIC SERVICE COMMISSION

Gwen R. Pinson
Executive Director

Function

2300 Richmond Road, Lexington, KY 40502

EFFECTIVE

7/15/2018PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

furnishing CO the part of SU		mises claimed to be l not constitute a war		(T) (T) (T)
the		pies of the final plat	ly upon recordation thereof in the office of of said subdivision or units thereof as	(T) (T)
SEVE successors and	-	ain(s) laid hereunder	shall at all times be in COMPANY, its	(T)
EIGH	ITH: This Agreement shall be valid a	nd binding on COM	PANY only when executed by its President.	(T)
NINT of the respecti		upon the heirs, execu	ntors, administrators, successors and assigns	
to COMPANY	TH: Any notice given hereunder shall at 2300 Richmond Road, Lexington,		at if in writing and sent by registered mail JBDIVIDER at	(T)
of COMPANY Rules and Reg	Y and the words, phrases and terms her gulations, which are hereby incorporate	reof are to be undersed herein by reference		(T)
	CANCELLED KE	NTUCKY AMERI		(T) (T)
	September 10, 2018 KENTUCKY PUBLIC SERVICE COMMISSION	(Director of Engin	eering)	(T)
ISSUED: EFFECTIVE	May 18, 2018	Appro	ved: KENTUCKY	1
	/s/ Nick O. Rowe Nick O. Rowe President 2300 Richmond Road, Lexington, F	XY 40502	PUBLIC SERVICE COMMISSION Gwen R. Pinson Executive Director Purson EFFECTIVE 7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)	_

	(Date)	
	DEPOSITOR(S):	
	Dia ana #	
	Phone #:	
TNESS:	Email:	
INESS.		
By:_	(Signature)	-
CANCELLED	(Print or type name)	
September 10, 2018	(Title/Position)	
KENTUCKY PUBLIC		

ISSUED: May 18, 2018 EFFECTIVE: July 15, 2018

ISSUED BY: /s/ Nick O. Rowe

Nick O. Rowe President

SERVICE COMMISSION

2300 Richmond Road, Lexington, KY 40502

Approved:

KENTUCKYPUBLIC SERVICE COMMISSION

Gwen R. Pinson Executive Director

wen R. Punsor

7/15/2018

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. KY NO. 7

First Sheet No. A-5 September 10, 2018

Form of New Subdivision Extension Deposit Agreement for	On Site Facilities: KENTUCKY PUBLIC
THIS AGREEMENT, entered into this day of _, 20, by a WATER, a Kentucky corporation, having its office at 2300 Richmond called "COMPANY" and, hereinafter called	Road, Lexington, Kentucky, hereinafter (T)
WITNESSETH:	
THAT, WHEREAS, SUBDIVIDER is the present owner of a c service area of COMPANY, which tract is now being developed by SU thereof (hereinafter called the subdivision), and	
WHEREAS, thehas plat of said proposed subdivision, upon which plat the location and graplots, building lines and utility easements are depicted, a copy of which hereof, marked Exhibit 'A' for identification, and	
WHEREAS, SUBDIVIDER desires the extension of the water site of said subdivision as hereinafter described,	mains and facilities of COMPANY on the (T
NOW, THEREFORE, in consideration of the premises and the hereinafter set forth, it is hereby agreed by and between the parties here	•
FIRST: COMPANY contracts and agrees to lay the water main shown in red on the plat attached hereto and made a part hereof, market main(s) and facilities, if any, are described and located as follows:	· · · · · · · · · · · · · · · · · · ·
SECOND: It is expressly understood and agreed that if COMI installing the water main(s) and other related facilities, if any, hereinab secure pipe or other construction materials, or for any other cause beyo performance shall be excused; provided, however, if such failure or del of more than ninety (90) days from date of deposit, SUBDIVIDER shall agreement on thirty (30) days' written notice to COMPANY, and there duties and obligations arising hereunder. But this right to cancel and te invoked if COMPANY has received the construction material and SUE hereinafter required, in which event COMPANY shall have the obligation completion.	ove described because of its failure to nd its control, such failure or delay in ay in performance shall extend for a period Il have the right to cancel and terminate this after both parties shall be relieved of all trminate by SUBDIVIDER shall not be BDIVIDER has made the deposit as
ISSUED: May 18, 2018 Appr EFFECTIVE: July 15, 2018	KENTUCKY PUBLIC SERVICE COMMISSION
ISSUED BY: /s/ Nick O. Rowe Nick O. Rowe	Gwen R. Pinson Executive Director
President 2300 Richmond Road, Lexington, KY 40502	Steven R. Punson
2500 Richiibiid Road, Lexiligibii, K.1 40502	EFFECTIVE 7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

ISSUED: EFFECTIVE:	May 18, 2018 July 15, 2018	Appro ved: KENTUCKY PUBLIC SERVICE COMMISSION	
portion of the mai basis of a prelimin has been approve. County Court, SU of streets, sidewal attached hereto a	in(s) and related facilities, if any, describe nary plat of said subdivision, without with d by the Planning and Zoning Commissio JBDIVIDER represents to COMPANY arlks, curbs, building plots, building lines as Exhibit "A" will not be altered or chang	ed in any respect in the final plat of said subdivision, as	Т)
furnishing COMF the part of SUBD	PANY, at such time, a listing of premises	claimed to be additional premises; however, failure on onstitute a waiver of any rights hereunder or relieve	T) T)
attached to the ma however, connect building plot) and COMPANY, prov	ain extension herein provided between its ions to further extensions or branches the I for which a bona fide residential or combided, however, that the total amount returns	reto) for which a street service connection shall be directly original beginning and original terminus (not including, reof, and being limited to one such service connection per mercial customer has executed a service contract with rned shall not exceed the original deposit, without interest, aid ten (10) year period shall become the property of	T)
Upon such repres of ten (10) years f SUBDIVIDER ur	entation and covenant, COMPANY hereb from the actual date of deposit, an amount nder the terms of Paragraph THIRD above	y agrees to refund to SUBDIVIDER, during the period equal to of the total deposit made by (7 s, being the sum, for each premises served within said subdivision (7	T) (T)
and/or commercia Exhibit "A", bein 10.3 of COMPAN	al building plots to be developed within sage a total of bunyless and Regulations, is equal to		T) T)
No adjustment in	ies, if any, as determined under Section C deposit will be made, either by way of ad ween the presumed cost mentioned herein		T)
is prepared and at	ble to go forward with the work provided , which sum	· ·	T)
			T)

finally approved by the Lexington-Fayette County Planning and Zoning Commission and as recorded in the office of the Clerk of the Fayette County Court. In the event the location or grade of streets, sidewalks, curbs, building plots, building lines or utility easements be altered, amended or changed in the final plat or in an amended plat of said subdivision as approved by said Commission or as recorded in the office of said Clerk, whether such changes are made with or without the consent of SUBDIVIDER, or in the event a final plat is not approved or recorded, and in event such alteration, amendment, change, failure of approval or of recording requires, in the sole judgment of COMPANY, the (T) relocation, removal, replacement, reconstruction, change in size or addition to the main(s) and related facilities to be constructed by COMPANY pursuant to the Agreement, SUBDIVIDER will immediately, upon demand from COMPANY, pay to COMPANY the actual cost to COMPANY of making such relocations, removals, replacements, changes in sizes and additions to such main(s) and related facilities, if any, so required in the sole judgment of COMPANY, as a result of such changes in location or grade of streets, sidewalks, curbs, building plots, building lines or utility easements. No part of the sums payable to COMPANY by SUBDIVIDER under the terms and provisions of this Paragraph SIXTH shall be subject to refund to SUBDIVIDER. In event SUBDIVIDER fails to pay to COMPANY such sums as may be demanded by COMPANY under provisions of this Paragraph SIXTH within ten (10) days from such demand, COMPANY shall have the right, in addition to and not in limitation of all other remedies, which right shall be superior to the rights of any intervening assignee or creditor of SUBDIVIDER, to apply refunds coming due under Paragraph FOURTH of the Agreement to the reduction and extinguishment of the debt of SUBDIVIDER (T) to COMPANY under terms of this Paragraph SIXTH.

SEVENTH: By execution of this Agreement, SUBDIVIDER grants unto COMPANY, its successors and assigns, the permanent right and privilege to lay and construct its main(s) and related facilities, if any, on, over and through the lands of SUBDIVIDER at the locations depicted on Exhibit "A" and described in Paragraph FIRST above, together with the right to enter upon the adjacent lands of SUBDIVIDER for the purpose of installing, construction, repairing, renewing, replacing and inspecting such main(s) and related facilities, if any.

EIGHTH: SUBDI	VIDER will furnish to COMPANY immediately upon recordation thereof in the office	;
of the Clerk of	five (5) copies of the final plat of said subdivision as approved by the	(T)
		(\mathbf{T})

NINTH: The ownership of the water main(s) laid hereunder shall at all times be in COMPANY, its successors and assigns.

TENTH: This Agreement shall be valid and binding on COMPANY only when executed by its Director of Engineering. (T)

ELEVENTH: This Agreement shall be binding upon the heirs, executors, administrators, successors and assigns of the respective parties.

ISSUED: May 18, 2018 Approved: **KENTUCKY EFFECTIVE:** July 15, 2018 PUBLIC SERVICE COMMISSION Gwen R. Pinson ISSUED BY: /s/ Nick O. Rowe **Executive Director** Nick O. Rowe President Leven R. F. 2300 Richmond Road, Lexington, KY 40502 **EFFECTIVE** September 10, 2018 7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1) **KENTUCKY PUBLIC** SERVICE COMMISSION

TWELFTH: Any notice given here COMPANY at 2300 Richmond Road, Lexing		cient if in writing and sent by registered mail DIVIDER at	
THIRTEENTH: This Agreement is of COMPANY and the words, phrases and tensaid Rules and Regulations, which are hereby	rms hereof are to be unders		(T)
Executed by the parties hereto in duplicate this	is the day and year first abo	ve written.	(T)
WITNESS:	KENTUCKY AMERICA	AN WATER	(T)
	R_{V}		
	(Director of En	ngineering)	(T)
CANCELLED	(Date)		(N)
September 10, 2018			(N) (N) (N)
			(N)
KENTUCKY PUBLIC SERVICE COMMISSION	Phone #:		(N)
	Fax #:		(N)
	Email:		(N)
WITNESS:			(N)
	By:		(N)
	(Signature)		(N)
	(Print or type n	ате)	(N) (N)
ISSUED: May 18, 2018	Appro	ved:	_
EFFECTIVE: July 15, 2018		KENTUCKY PUBLIC SERVICE COMMISSION	
ISSUED BY: /s/ Nick O. Rowe Nick O. Rowe President 2300 Richmond Road, Lexing	 gton, KY 40502	Gwen R. Pinson Executive Director When R. Punson	
	,	EFFECTIVE 7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)	

(N)
(Title/Position)
(N)

CANCELLED

September 10, 2018

KENTUCKY PUBLIC SERVICE COMMISSION

ISSUED: May 18, 2018 EFFECTIVE: July 15, 2018

ISSUED BY: /s/ Nick O. Rowe

Nick O. Rowe President

2300 Richmond Road, Lexington, KY 40502

Approved:

KENTUCKY

PUBLIC SERVICE COMMISSION

Gwen R. Pinson Executive Director

Steven R. Punson

EFFECTIVE

7/15/2018

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

CANCELLED

APPLICATION FOR SPECIAL CONNECTION

September 10, 2018

KENTUCKY PUBLIC SERVICE COMMISSION

Account No.	
Contract No.	
Contract No.	
WBS Element No.	

	, 20, by, TER, a Kentucky corporation located at 2300 Richmond Road, Lexington,
	forth, hereby applies to the WATER COMPANY for a private service, consisting in Lexington and attach to said service pipe the
following fixtures and openings:	
One (1)inch fire service to supply with all related fittings to supply a sprinkler system of a	; oneinch service line, post indicator and valve approximately sprinkler heads, covering approximately
square feet of space;	
all of which fixtures and openings to be located within or upon the premi COMPANY is located.	se of the APPLICANT abutting the street on which the said main of the WATER
WATER COMPANY for private fire protection service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of the further terms and conditions upon which this Application may be a service at the schedule of	
department having jurisdiction of the premises to be served.	premises shall be subject to the inspection, test and approval of the WATER
COMPANY, and the WATER COMPANY by its representatives, shall ha	we the right to enter the premises of the APPLICANT at any reasonable time for necessary, and to insure compliance with the terms and conditions of this
FOURTH: That a fire line meter or detector device, approved the service at a location approved by the WATER COMPANY. Such mo of the APPLICANT, but subject to the inspection and approval of the Water befurnished, installed and maintained by the WATER COMPANY at its company.	
the main is located or at such other point as may be approved by the WA	e entire supply shall be placed at the curb or property line of the street in which TER COMPANY, and shall be furnished, installed and maintained by and at the TER COMPANY, said valve shall be installed in a valve pit or vault which shall APPLICANT.
opened or used except during times of fire or testing. Upon extinguishm	private fire protection service system shall be kept closed and sealed, and not tent of each fire or following each test, the APPLICANT shall immediately close realed. Whenever a private fire protection service system is to be tested, the
and hour on which it is to be made.	days in advance of such proposed test, requesting approval of the method, day ecifically approved by the Environmental Protection Agency as non-detrimental
to the public water supply, shall be introduced into sprinkling systems private fire protection service system.	or into any pipe, fixture, appurtenance or other portion of the APPLICANT's
times of fire on said premises, such supply of water as shall then be ava and agrees the WATER COMPANY shall not be considered in any way	the extent of the rights of the APPLICANT under this Application is to receive, at illable and no other or greater quantity. The APPLICANT further acknowledges or manner an insurer of property or persons, or to have undertaken to extinguish
and all claims for damages on account of any injury to property or persocause whatsoever.	, or otherwise, and the WATER COMPANY shall be free and exempt from any ons by reason of fire, water, failure to supply water or pressure, or for any other
through this connection for purposes other than testing or the extinguis Application and of the rules, regulations and conditions of service of the V	tures other than those shown on Exhibit A. Any waste of water or use of water shment of fire, shall be deemed a violation of the terms and conditions of this VATER COMPANY. this Application, they shall be painted any color other than that adopted by the
WATER COMPANY for public fire hydrants.	d make a part hereof marked Exhibit A, three (3) complete sets of drawings
showing the pipes, pumps, valves, hydrants, sprinkler systems, hose out contemplated in this Application. Such drawings, which shall be stamped to the stamp	lets and connections, standpipes, tanks and other openings and appurtenances ped "Approved" by the Insurance Services Office or other comparable agency upply systems and pipelines and appurtenances which are proposed or which
may exist on the premises to be served	ed with the private ire protection service by AM Apsicanon shall be
connected with any pipe, fixture or appurtenance supplied with water COMPANY.	from any other source, unless specifi ு (இழு அவரு) முர் முர் ing by the WATER Executive Director
THIRTEENTH: That the APPLICANT agrees to obtain in alteration, addition or deletion contemplated in the pipes, fixtures, openin of the WATER COMPANY, APPLICANT agrees that, except for those maintain, APPLICANT is and will be solely responsible for the design, a	gs and appurtenances and us facilities which the WATER C degrees function and maintenances ten
referred to in this Application.	EFFECTIVE
	7/15/2018 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOURTEENTH: That the WATER COMPANY has the right to discontinue or disconnect the service pipe herein applied for, and to terminate service under this Application, after due written notice to the APPLICANT, for failure to pay any bill when due, for failure to supply information to WATER COMPANY about the service system when so requested in writing, for leakage within APPLICANT's system, for violation of any of the terms and conditions of this Application, or for any violation of its rules, regulations and conditions of service; and the WATER COMPANY also has the right to shut off all or any part of its facilities by the WATER COMPANY(1) if a condition dangerous or hazardous to life, physical safety or property exists, (2) upon order by any court, the Public Service Commission or other duly authorized public authority, (3) if fraudulent or unauthorized use of water by APPLICANT is detected, or if the WATER COMPANY's regulating or measuring equipment has been tampered with by the APPLICANT.

FIFTEENTH: That upon acceptance of this Application by the WATER COMPANY and the completion of the installation of the service applied for, this Application shall be in full force and effect as a contract and shall continue as such until cancelled by written notice given thirty (30) days in advance by the APPLICANT to the WATER COMPANY, except as otherwise provided in numbered paragraph (14) above.

SIXTEENTH: The acceptance of this Application by the WATER COMPANY must be executed by its Director of Engineering before same becomes effective.

SEVENTEENTH: If ownership of the property served by the service applied for herein changes, notice thereof shall be given to the WATER COMPANY within thirty (30) days and the new owner shall be identified by name, address and proper person to contact.

EIGHTEENTH: That the APPLICANT or his agent is responsible for payment of the deposit for the estimated cost of construction to WATER COMPANY. To the extent there is any refund to be made at the end of the project after all costs are accrued, such refund will be paid to the APPLICANT by check made payable to the APPLICANT. To the extent that any amount is owed to WATER COMPANY at the end of the project after all costs are accrued, payment of such amounts is the responsibility of the APPLICANT. To the extent the APPLICANT owes any of the refunded amount to the contractors, APPLICANT agrees it is responsible for these amounts owed and that WATER COMPANY has no responsibility in that regard.

IN WITNESS WHERE	EOF , the APPLICANT has hereunto sign	ned the day and year:		
	CANCELLE September 10, 2018	D .	Fax:	
Witness Signature	KENTUCKY PUBLIC) N		ANT or Duly Authorized Representat
APPROVED this	day of		, 20	·
Witness Signature		Chief of	Fire Department	
County/City of				
WATER COMPANY I	nereby accepts the foregoing Application	n this	day of	, 20
Witness Signature	 Di	rector of Engineering		Date

KENTUCKYPUBLIC SERVICE COMMISSION

Gwen R. Pinson Executive Director

EFFECTIVE

Twen R. Punso

7/15/2018

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)